

**CALL: Trans-Atlantic Platform in a Post-Pandemic World - SOCIAL SCIENCES AND HUMANITIES**

*Let asb daarop dat hierdie inligting aan 'n verspreidingslys van alle US-navorsers gestuur word. Dit mag dus wees dat hierdie spesifieke oproep nie van toepassing is op u studieveld nie. Ons vertrou dat toekomstige oproepe wel relevant mag wees.*

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*Please note that this information is sent to a distribution list of all SU researchers. It may, therefore, be possible that this specific call is not applicable to your specific research field. We trust that future calls will be relevant.*



## Call for Proposals

### Trans-Atlantic Platform (T-AP) Recovery, Renewal and Resilience (RRR) in a Post-Pandemic World

**Compulsory Intention to Submit form: 14 June 2021**

**CLOSING DATE FOR FULL APPLICATION AT STELLENBOSCH UNIVERSITY : 12 JULY 2021**

**THOROUGHLY STUDY THE ATTACHED NRF FUNDING REGULATIONS FOR ELIGIBILITY, FUNDING, CRITERIA, ETC.**

#### Background

The Trans-Atlantic Platform (T-AP) for Social Sciences and Humanities (SSH) is an unprecedented collaboration between humanities and social science research funders from South America, North America and Europe. T-AP aims to enhance the ability of funders, research organisations and researchers to engage in transnational dialogue and collaboration. It also works to identify common challenges and promote a culture of collaboration in SSH research by offering Joint Research Calls in areas of strong potential for international collaboration.

The T-AP funding organisations and project management agencies have partnered to create a 3<sup>rd</sup> Joint Research Call focusing on Recovery, Renewal and Resilience (RRR) in a Post-Pandemic World. Even though South Africa is currently not a T-AP full member, the **National Research Foundation (NRF) has joined this T-AP RRR Call and will support South African researchers wishing to participate in the call.**

#### National topic priorities

The NRF will support all interdisciplinary research projects focused on the five challenges related to COVID-19;

- 1) reducing inequalities and vulnerabilities;
- 2) building a more resilient, inclusive and sustainable society;
- 3) fostering democratic governance and political participation;
- 4) advancing responsible and inclusive digital innovation, and
- 5) ensuring effective and accurate communication and media.

#### How to apply?

For the full proposal, applicants should submit their applications via the SAGe submission website.

The South African applicants (i.e. main Principal Investigators) should also submit their applications electronically to the NRF via the NRF Online Submission System at <https://nrfs submission.nrf.ac.za> South African Principal Investigators **MUST** consult their institutions for internal closing dates.

Follow the link below for further details on the application process (including the NRF eligibility criteria and funding regulations):

<https://transatlanticplatform.com/t-ap-recovery-renewal-and-resilience-in-a-post-pandemic-world-rrr/>

#### Key dates:

Closing date for **compulsory** Intention to Submit form: 14 June 2021

Closing date for submission of full proposals via SAGe: 12 July 2021

**Stellenbosch University institutional closing date for review and validation (applications to be submitted on NRF online system): 12 July 2021**

*Riana Coetsee*

**Manager: International & Early Career Research Grants / Bestuurder: Internasionale & Vroeë-loopbaan navorsingstoekennings**

**Afdeling Navorsingsontwikkeling / Division for Research Development**

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## Trans-Atlantic Platform Recovery, Renewal and Resilience in a Post-Pandemic World (RRR) Call for Proposals

The T-AP Platform Recovery, Renewal and Resilience in a Post-Pandemic World (RRR) Call for Proposals consists of two sections:

- **Essential Information:** containing an overview to determine whether to apply to this call.
- **Full Call for Proposals:** containing all details and requirements to apply to this call.

### ESSENTIAL INFORMATION

#### 1. Introduction

The [Trans-Atlantic Platform \(T-AP\) for Social Sciences and Humanities](#) is an unprecedented collaboration between humanities and social science research funders from South America, North America and Europe. T-AP aims to enhance the ability of funders, research organizations and researchers to engage in transnational dialogue and collaboration. T-AP works to identify common challenges and promote a culture of collaboration in social science and humanities (SSH) research by offering joint research calls in areas of strong potential for international collaboration. The Platform facilitates the formation of networks within the social sciences and humanities and promotes interdisciplinarity. T-AP also strives to heighten awareness of the role the social sciences and humanities play in addressing 21<sup>st</sup> century challenges.

#### 2. Funding organizations

This call is supported by selected funders from different countries (please see table of participating funding organizations below).

The complete list of T-AP RRR funding organizations and their eligibility requirements can be found on page 2 of this Call and on the [T-AP RRR website](#).

#### 3. Research topics

The RRR Call emphasizes the following five main challenges related to COVID-19:

- (i) reducing inequalities and vulnerabilities;
- (ii) building a more resilient, inclusive and sustainable society;
- (iii) fostering democratic governance and political participation;
- (iv) advancing responsible and inclusive digital innovation; and
- (v) ensuring effective and accurate communication and media.

A focus on these five challenges will leverage the SSH research to understand and address the complex societal effects of COVID-19 pandemic, and inform mitigation efforts, thus contributing to a more equitable, resilient and sustainable future. Key to the approach is the added value of new perspectives and insights generated by transnational and interdisciplinary collaborations.

#### 4. Timeline

Call announcement	12 <sup>th</sup> April 2021
Webinars	April and May 2021
Compulsory Intention to submit form deadline	14 <sup>th</sup> June 2021
Closing date for submissions of full proposals	12 <sup>th</sup> July 2021
Notice of funding decision	TBS
Projects start date	1 <sup>st</sup> March 2022

## 5. Duration of projects

Each project should have a duration ranging between 24 and 36 months.

## 6. Eligibility requirements

6.1. Applicants must apply as a transnational research project partnership. Each project must:

- comprise at least three eligible Principal Investigators from at least three different T-AP participating countries<sup>1</sup> from both sides of the Atlantic;
- designate one of the Principal Investigators as the project's Lead Principal Investigator.

If the project is requesting funds from more than one funding organization within the same country, only one Principal Investigator may be designated as the lead for that country.

6.2. Each funding organization also has its own restrictions on the eligibility of potential applicants and proposals<sup>2,3</sup>. Before applying please consult the Addenda containing each funding organization's eligibility rules, requirements, funding mandates, policies, eligible costs and procedure. The funding organizations' specific addenda are available on the [T-AP RRR website](#).

*Table of participating funding organizations*

Country	Funding organization	Total funds available for the call	Maximum funding per proposal	Contact details
Brazil	São Paulo Research Foundation (FAPESP)	\$600,000 U.S.	R\$450,000	Alexandre Rocco <a href="mailto:chamada-tap@fapesp.br">chamada-tap@fapesp.br</a>
Canada	Fonds de recherche du Québec – Société et culture (FRQSC)	200,000\$CAN	50,000 to 75,000\$CAN + ICR (depending on funds available)	Laurent Corbeil <a href="mailto:laurent.corbeil@frq.gouv.qc.ca">laurent.corbeil@frq.gouv.qc.ca</a>
Canada	International Development Research Centre (IDRC)	1 Mio \$CAN	150,000\$CAN	Matthew Wallace <a href="mailto:mwallace@idrc.ca">mwallace@idrc.ca</a>
Canada	Social Sciences & Humanities Research Council (SSHRC)	2.1Mio\$CAN	200,000\$CAN	Patricia MacCaughan <a href="mailto:patricia.maccaughan@sshrccrsh.gc.ca">patricia.maccaughan@sshrccrsh.gc.ca</a>
Colombia	Ministry of Science, Technology and Innovation (MinCiencias)	3,000 Mio COP\$	500 Mio COP\$	Silvana García Drago <a href="mailto:smgarciad@minciencias.gov.co">smgarciad@minciencias.gov.co</a>
Croatia	Croatian Science Foundation (HRZZ)	200,000 EUR	100,000 EUR	Dario Lečić <a href="mailto:dario@hrzz.hr">dario@hrzz.hr</a>
Finland	Academy of Finland (AKA)	800,000 EUR	n/a (up to 4 projects)	Satu Huuha-Cissokho <a href="mailto:satu.huuha-cissokho@aka.fi">satu.huuha-cissokho@aka.fi</a>
France	Agence Nationale de la	1.2 Mio EUR	n/a	Armelle Chandellier-Tosent

<sup>1</sup> Please note the International Development Research Centre (IDRC) Canada is providing funding for researchers from Belize, Bolivia, Costa Rica, Dominican Republic, El Salvador, Ecuador, Guatemala, Honduras, Nicaragua, Panama, Paraguay and Peru. For further details see IDRC's addendum.

<sup>2</sup> Universities of the French DOM-TOM (such as the University of the West Indies and Guyana) or the UMIFRE and CNRS laboratories located abroad (including on American soil), will be considered as part of the European side of the Atlantic for this call.

<sup>3</sup> E.g., Sao Paulo PIs are obliged to submit FAPESP's official eligibility declaration in the proposal, as specified in the SP Addendum.

	recherche (ANR)			<a href="mailto:Armelle.Chandellier-Tosent@agencerecherche.fr">Armelle.Chandellier-Tosent@agencerecherche.fr</a>
Germany	Federal Ministry of Education and Research (BMBF)/ Project Management Agency (DLR-PT)	1.2 Mio EUR	300,000 Euros	Gaia di Luzio <a href="mailto:gaia.diluzio@dlr.de">gaia.diluzio@dlr.de</a>
Germany	Deutsche Forschungsgemeinschaft (DFG)	Up to 10 projects if standards of excellence are met. Funding comes from the regular individual research grants competition	No maximum funding for individual projects. Note, however, that all budgetary items have to be well justified	Anna Knaps <a href="mailto:Anna.knaps@dfg.de">Anna.knaps@dfg.de</a>  Niklas Hebing <a href="mailto:Niklas.hebing@dfg.de">Niklas.hebing@dfg.de</a>  Sigrid Claßen <a href="mailto:Sigrid.classen@dfg.de">Sigrid.classen@dfg.de</a>
Poland	National Science Centre, (NCN)	600,000 EUR	n/a	Michał Futyra, <a href="mailto:Michal.futyra@ncn.gov.pl">Michal.futyra@ncn.gov.pl</a>  Przemysław Puchała <a href="mailto:przemyslaw.puchala@ncn.gov.pl">przemyslaw.puchala@ncn.gov.pl</a>
South Africa	National Research Foundation (NRF)	R7.5 Mio	R750,000	Nombuso Madonda <a href="mailto:Nombuso.madonda@nrf.ac.za">Nombuso.madonda@nrf.ac.za</a>
Switzerland	Swiss National Science Foundation (SNSF)	CHF 1 Mio	CHF 250,000	Timothy Ryan <a href="mailto:timothy.ryan@snf.ch">timothy.ryan@snf.ch</a>
United Kingdom	UK Research and Innovation – Arts and Humanities Research Council (UKRI AHRC)	£ 1.7 Mio	£ 310,000 (100% fEC)	Konrad Mould <a href="mailto:Konrad.Mould@ahrc.ukri.org">Konrad.Mould@ahrc.ukri.org</a>  Elise Boothroyd <a href="mailto:Elise.Boothroyd@ahrc.ukri.org">Elise.Boothroyd@ahrc.ukri.org</a>
United Kingdom	UK Research and Innovation – Economic and Social Research Council (UKRI ESRC)	£ 2.5 Mio	£ 310,000 (100% fEC)	T-AP COVID-19 Call Team (led by Manija Kamal) <a href="mailto:Tapcovid19call@esrc.ukri.org">Tapcovid19call@esrc.ukri.org</a>
United States of America	National Science Foundation (NSF)	\$1,000,000 U.S.	\$200,000 U.S.	Kwabena Gyimah-Brempong <a href="mailto:kgyimahb@nsf.gov">kgyimahb@nsf.gov</a>

## 7. Application procedure

**7.1.** The Lead Principal Investigator (PI) must submit an Intention to Submit Form by 14<sup>th</sup> June 2021 (no later than midnight BRT) using an online form (<https://fapesp.br/calls/tap-its>). Proposals whose Lead PI has not submitted the ItS form by the deadline will not be considered for evaluation.

**7.2.** Applications must be submitted by 12<sup>th</sup> July 2021 (no later than midnight BRT) via the online submission system SAGe ([www.fapesp.br/sage](http://www.fapesp.br/sage)), hosted by the Sao Paulo Research Foundation (FAPESP). It is the responsibility of the research team to confirm all requirements for each country and funding organization from which it is requesting funds as specified in the funding organizations' Addenda to the Call for Proposals.

**7.3.** The Lead PI of each trans-Atlantic project partnership must submit the joint research proposal on behalf of the consortium.

**7.4.** Applications must be submitted in English.

**7.5.** Researchers can participate in only one application as Principal Investigator (PI). Should one PI not strictly comply to this rule, all proposals including that PI will be considered ineligible. Please see funding organizations' addenda for additional limitations.

**7.6.** Applications must be submitted by the deadline, following all set requirements. Applications failing to comply with eligibility requirements and application instructions will be declared ineligible and will not be evaluated. If one of the research partners in a consortium is not eligible, the entire consortium will be declared ineligible. The Lead PI should be aware that some countries have specific eligibility requirements and must ensure that all PIs in their consortium adhere to the relevant requirements to avoid ineligibility of the project proposal.

**7.7. All research teams are strongly advised to contact their relevant funding organizations at least six weeks before the application deadline to enquire about the eligibility of the national teams involved.** Note that the formal eligibility of the Proposals will be determined only after the submission deadline on the basis of all formal eligibility requirements.

## **8. Funding principles**

**8.1.** The RRR Call will be implemented through a coordinated funding scheme where each funding organization will fund its own (national) research teams<sup>4</sup> within a T-AP RRR project partnership.

**8.2.** The available contributions and the upper limits to the budgets that can be requested per project team are listed in section 6.

**8.3.** Since funding will be awarded according to the terms and conditions of the relevant funding organization, the actual costs that can be funded through the project may vary for each national research team. Applicants should therefore thoroughly check all national and/or regional regulations and rules of the relevant funding organizations as outlined in the Full Call for Proposals and funding organization-specific Addenda.

**8.4.** Researchers from countries/regions other than T-AP RRR Call partner countries/regions, and non-academic partners (e.g., business, civil society sector, industries) may participate in the project as Cooperation Partners. However, no funding can be applied for them from the T-AP RRR Call (unless otherwise stated within the funding organizations' Addenda). A formal letter guaranteeing Cooperation Partners' own funding for participating in the T- AP RRR project must be included as part of the "Additional documents" file upon proposal submission. The successful execution of a project should not depend on these outside participants.

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<sup>4</sup> Please note the International Development Research Centre (IDRC) Canada is providing funding for researchers from Belize, Bolivia, Costa Rica, Dominican Republic, El Salvador, Ecuador, Guatemala, Honduras, Nicaragua, Panama, Paraguay and Peru. For further details see IDRC's addendum.

## FULL CALL FOR PROPOSALS

### Trans-Atlantic Platform Recovery, Renewal and Resilience in a Post-Pandemic World (RRR) Call for Proposals

#### 1. Scope and principles of the call

The COVID-19 pandemic is a major crisis that touches on all aspects of health, social, economic, political, and cultural life. From major disruptions to the global economy through to the dislocation of normal interpersonal relationships, no society, business, community, family or individual has escaped its impacts. As illustrated by such recent initiatives as the [UN Research Roadmap for the COVID-19 Recovery: Leveraging the Power of Science for a More Equitable, Resilient and Sustainable Future](#), an effective post pandemic recovery, must be strongly informed by insights and evidence from social sciences and humanities (SSH). The T-AP RRR Call will:

- catalyse and support transnational research teams from countries on both sides of the Atlantic;
- promote interdisciplinary and trans-Atlantic research collaborations;
- conduct outstanding, innovative and interdisciplinary research that contributes to the understanding and mitigation of complex societal effects of the COVID-19 pandemic; and
- accelerate the creation of new knowledge and uptake of research outputs/outcomes by key stakeholders (i.e., policy makers, decision-makers, research users).

#### 2. Objectives

The RRR Call aims to address key gaps in our understanding of the dynamic and complex interaction of medium and long-term societal effects of COVID-19 pandemic. This understanding, in turn, should advance knowledge of how to mitigate the negative societal effects of COVID-19 pandemic and support recovery and renewal in a post-pandemic world. Applicants are required to submit novel interdisciplinary and significant SSH research proposals that address one or more of the following five challenges:

- (i) reducing inequalities and vulnerabilities;
- (ii) building a more resilient, inclusive and sustainable society;
- (iii) fostering democratic governance and political participation;
- (iv) advancing responsible and inclusive digital innovation; and
- (v) ensuring effective and accurate communication and media.

A focus on these five challenges will leverage SSH research to understand and address the complex societal effects of COVID-19 pandemic, inform mitigation efforts and thus, contribute to a more equitable, resilient and sustainable future. Key to the approach is the added value of new perspectives and insights generated by transnational and interdisciplinary collaborations.

Projects are required to address the challenge(s) through one or more of the following approaches from a trans-Atlantic comparative (cross-country) perspective:

- assess the mid to long-term economic, social, political, health, educational, environmental, technological, legal, psychological, or cultural impacts and ethical implications of the COVID-19 pandemic;
- generate new perspectives and insights that would inform development of appropriate and effective responses and interventions to combat COVID-19; and/or
- assess the effectiveness as well as transferability and unintended or long-term effects of responses and interventions by government and other societal actors to these impacts and the lessons arising from them for recovery and renewal.

### **3. Equity, diversity and inclusion**

The COVID-19 pandemic has affected different groups in different ways, and has worsened certain inequalities, both in society and in the research community itself. Therefore, applicants are encouraged to consider equity, diversity and inclusion (EDI) into their research plans and proposals. EDI considerations can be incorporated into the research team, research environment and research design through, among others:

- integrating diversity-related considerations into the design of the project (e.g., use gender or other identities as factors of analysis, or involve research participants from diverse or disadvantaged groups);
- composing and recruiting diverse research teams;
- offering quality training and mentoring for young researchers from disadvantaged groups; and/or
- ensuring research-related activities and decisions are conducted in inclusive ways.

### **4. Type of research that can be funded**

The RRR Call supports humanities and/or social sciences interdisciplinary research focused on the challenges described below. Proposals may emphasize historical, theoretical developments, cross-national and cross-regional comparisons, meta-analyses, qualitative and quantitative longitudinal or cross-sectional surveys, case studies, randomized-controlled studies, cultural analysis, audience insights, discourse analysis, experimental development, prototyping, and simulation models, among others. Participating funding agencies have different missions and funding mandates. Please see individual funding agency addenda for additional details about the types of research they support.

## **5. Five Challenges**

### **5.1. Reducing inequalities and vulnerabilities**

Every country faces unique challenges in dealing with the inequalities and vulnerabilities created or amplified by COVID-19. This challenge focuses on social, behavioural, psychological, economic, cultural, geographic, ethical, environmental and other factors operating at individual, family, community, and/or societal levels and invites proposals that address issues such as:

- why some populations are affected more adversely than others by virtue of factors such as socioeconomic status, age, ethnic and educational background, geography, cultural practices, and environmental issues;
- how such disparities can be addressed to ensure a successful renewal and recovery from COVID-19 and future pandemics;
- historical lessons/understandings from previous epidemic and pandemic recovery and how this varies across countries and communities; and
- ethical considerations underpinning the response to COVID-19.

### **5.2. Building a more resilient, inclusive and sustainable society**

Pandemics put individuals, social, cultural, economic and political systems under stress. This challenge recognises that recovering better from the COVID-19 pandemic requires thorough considerations of how societies can be made inherently resilient to cope with mounting and emerging challenges enabling positive progress. This challenge invites proposals that address building a more resilient, inclusive and sustainable society at:

- the individual and community levels;
- societal and political systems' levels;
- public and private sectors' levels;
- health and education systems' levels; and/or
- the built and natural environment levels.



### **5.3. Fostering democratic governance and political participation**

The COVID-19 pandemic poses challenges to governance capacities from the local to global levels. For example, the capacity of governments and international organizations to make fast decisions, frame and implement policies, design multi-level arrangements, engage with diverse interests' groups, and obtain citizens' compliance has been severely strained. This challenge focuses on issues related to how governments, societal actors, and international organizations handle the effects of COVID-19 and invites proposals that address issues such as:

- how COVID-19 could/may lead to different forms of governance;
- the use of scientific evidence for addressing the pandemic (science-for-policy issues and government advice processes);
- the development of robust evidence-informed advice and mechanisms for recovery and political participation processes; and
- how international collaboration, global governance, and law can be enhanced to manage cross-border issues.

### **5.4. Advancing responsible and inclusive digital innovation**

Digital technologies have been central to adapting to the changes brought about across all sectors of society by the pandemic and there are a range of considerations regarding the role of digital innovation in supporting inclusive renewal in a post-pandemic world. A fuller understanding of the nature of the digital innovations emerging from COVID-19 prompts questions about their wider societal impacts. Most immediate is the extent to which these technologies have facilitated pandemic response efforts and adaptations. There are also likely to be longer term and unintended consequences, beyond the pandemic, to the future functioning of societies. This challenge invites proposals that address issues such as:

- the expanded reliance on digital technologies (the expansion of human existence as on-line spaces) and the impacts on workplace, education, social cohesion, (mental) health, and connectedness;
- how and why the pandemic has changed the way in which people consume cultural goods and entertainment, and the role of digital innovation in enhancing access, engagement and deepening of international collaboration in this area;
- which values, norms, cultures, and principles should guide the continued or expanded application of digital technologies?

### **5.5. Ensuring effective and accurate communication and media**

Ensuring effective, ethical and accurate communication by government officials, health professional, researchers, scientific advisers, and media during a pandemic is essential to informing the public about evolving science, retaining trust in public officials, reducing risks, and encouraging people to act in an appropriate, informed and caring manner. This challenge invites proposals that address issues such as:

- the public understanding of science and risk;
- the identification and creation of trusted public health information sources;
- the communication of diverse COVID-19 experiences;
- effective communication/misinformation strategies used by the media on both sides of the Atlantic;
- rumours and conspiracy theories in socio-digital networks and different cultural contexts that allow them to flourish and lead to collective action; and
- different conceptions about health and how to prevent or cure disease.

In addition to these five challenges, applicants may consult the [UN Research Roadmap for the COVID-19 Recovery](#) when developing their proposals, and refer to the Roadmap's identified research priorities as applicable/appropriate.

## 6. Intention to Submit (ItS)

The Lead PI must submit a compulsory Intention to Submit (ItS) form by 14<sup>th</sup> June 2021 (no later than midnight BRT). The ItS must be completed online using the electronic form: <https://fapesp.br/calls/tap-its>.

Please note: The Lead PI of the joint project should complete and submit the ItS on behalf of the research team. Though project details may be modified, and Principal Investigators may be changed and added upon proposal submission, the Lead PI cannot change. Proposals whose Lead PI has not submitted the ItS form by the deadline will not be considered for evaluation.

## 7. Proposals must consider providing access to research outputs whenever possible

All funders of the RRR Call encourage to make all research outputs (including articles, data, software and models) available to the broadest possible audience. The goal is for scholars, educators, students, and the transnational public to have ready and easy access to the wide range of publicly funded project outputs. Detailed guidance on access and dissemination matters can be found in the instructions in Section 12 (see the heading “Regulations for uses and dissemination of knowledge & information”).

## 8. Eligibility Requirements

- Applications must be complete, correct and in English.
- Applications must be in accordance with all relevant eligibility requirements (see below under section 6 Eligibility requirements page 2 and consult the funding organizations’ specific addenda on the [T-AP RRR website](#)).
- Researchers can only participate in one application as Principal Investigator; thus, they can only act in 1 (one) application as Lead PI. Applicants must also adhere to the funding organizations’ specific limits, as described in their respective addenda.
- Applicants must apply as a **transnational research project partnership**, and partner with a number of national research teams based in the participating T-AP countries listed above. Each project must:
  - comprise at least three eligible Principal Investigators from at least three different T-AP participating countries from both sides of the Atlantic;
  - designate one of the Principal Investigators as the project’s Lead Principal Investigator.
- If the project is requesting funds from more than one funding organization within the same country, only one Principal Investigator may be designated as the lead for that country.
- All required commitment letters or e-mail(s) or required declarations must be included as part of the “Additional documents” file upon proposal submission.
- Applications must be submitted by the deadline, following all set of requirements. Applications failing to comply with eligibility requirements and application instructions will be declared ineligible and will not be evaluated. If one of the research partners in a consortium is not eligible, the entire consortium will be declared ineligible.

## 9. Participating funding organizations

Each funding organization has its own restrictions on the eligibility of potential applicants and proposals. The table on page 2-3 refers to the funding available and the Addenda containing each funding organization’s eligibility rules, requirements, funding mandates, policies, eligible costs and procedures.

**Please consult the appropriate Addenda on [T-AP RRR website](#) prior to applying!**

## 10. Application instructions

### 10.1. Online application process

On the [T-AP RRR website](#) you find detailed instructions how to submit an application.

The application must be submitted by the Lead PI on behalf of all partners in the consortium via the online submission system SAGe, hosted by the Sao Paulo Research Foundation (FAPESP). The link to SAGe and further instructions can be found on the [T-AP RRR website](#).

All Lead Principal Investigators must create a SAGe login account to submit the online proposal. This is not required of other team members (unless they are applying for funding from FAPESP). Please keep in mind that this account creation is done in two steps: in the first step, the Lead PI defines a login name and a password; in the second stage the Lead PI receives a message from SAGe, upon which s/he needs to log into the system and provide additional information (e.g., name, institution, email) for the account to be created. Not all fields are mandatory, but all **mandatory fields (marked as \*) should be filled out or registration will not be valid.**

The Proposal and its documentation should be uploaded by the Lead Principal Investigator. After logging into SAGe, the Lead Principal Investigator must

- enter some basic project information in the SAGe online T-AP form
- provide the names of the Principal Investigators
- upload four separate files in pdf: (i) the Research Proposal; (ii) Requested Budget – see “Summary Budget Tables”; (iii) Additional documents (comprising documents requested by national addenda, such as commitment letters or declarations); (iv) "Consent Form" signed by the Lead PI acknowledging that the proposal's contents will be shared with funders and reviewers. Please ensure that there are only these four files, and that each file contains the required contents. Only pdf files will be accepted. Each of these files must occupy at most 5 Mbytes.

Note that some funding organizations require that applicants also upload the proposal to the relevant Funding Organization(s)' online submission system (see funding organizations' addenda for detailed instructions on the [T-AP RRR website](#).) Please carefully check the relevant national requirements well before the submission deadline.

### 10.2. Structure of the Research Proposal Document

In order to prepare the Research Proposal Document, the structure described below must be followed. The application should be written in English. All pages must be numbered, and each page should contain the project acronym. The minimum font size allowed is 11. All margins should be at least 15 mm (0.59 inches; not including footers or headers). Note that documents should not be password *protected*. **All word counts are inclusive of all text, tables (excluding the budget tables), references, diagrams, and pictures.**

**Cover page** with

- Project title and acronym
- Lead Principal Investigator (= Principal Investigator 1): name, email address, institution, city, country
- Principal Investigators 2, 3, 4, ...: name, email address, institution, city, country.
- Cooperation Partner(s), if applicable: name contact person, email address, institution, city, country
- Proposed start date and duration of the project
- Keywords (up to 6)

The cover page must be followed by the sections below. The resulting document should be saved as one non-password protected PDF (Portable Document Format) file prior to uploading via the online submission system.

**10.2.1. Statement of significance** (maximum 300 words): Provide an abstract of the project, written for a general audience, which explains the significance of the project. This project summary will be published on the T-AP website if the proposal is selected for funding.

**10.2.2. Table of contents:** List all parts of the application and number all pages consecutively.

**10.2.3. Narrative** (maximum of 12 pages): Within the narrative, provide the following information:

- a. Outline how your project is meeting the requirements of the RRR Call.
- b. Describe and discuss the objectives and research questions you are addressing in your project and make the case for their importance to the humanities and/or social sciences. Discuss your proposed methodology for addressing these questions. If applicable, explain how Equity, Diversity and Inclusion (EDI) considerations will be integrated into your project design.
- c. Provide a clear and concise literature review/state of the art survey of the relevant field. If there are existing projects that are similar in nature to your project, please describe them briefly and discuss how they relate to the proposed project. Please explain how the proposed project contributes to and advances the field.
- d. Discuss how the project addresses the objectives of the RRR Call, including the added value to the research endeavour of the proposed transnational partnership.
- e. Provide a concise history of the project including information about preliminary research or planning.
- f. If data are used in your projects, describe them in detail and provide some evidence as to their availability.

**10.2.4. References cited** (maximum of two pages): Include a list of bibliographic references cited.

**10.2.5. Data Management Plan (DMP)** (maximum of two pages): Applicants should jointly prepare a DMP for their project. The plan should describe how the consortium will manage and disseminate data, code and other products generated or collected by the project as well as possible ethical, legal or privacy restrictions. Applicants are encouraged to refer to their national funding agencies' specific data management strategies or rules.

**10.2.6. Project Management, Dissemination, and Communications Plan (PMDC)** (maximum of five pages): Applicants should jointly prepare a PMDC which also specifies how all project partners plan to implement it. The PMDC should contain the following sections:

**a. Roles and responsibilities:** Briefly describe how the project will be managed. In addition, list all members of the project team(s), their roles and contact details (including primary affiliation and country) if already available. If applicable, describe the diversity of your team in general terms, and how the research environment will be made inclusive. Indicate the time allocated by those members to the project in hours per week over the course of the project. Main possible "roles" are: Lead Principal Investigator (LPI), Principal Investigator (PI) and Team Member (TM).

**b. Collaborations:** Please describe relevant present and past collaborations of the PIs and Team Members relevant to this proposal.

**c. Workplan:** Describe the project's key anticipated results (e.g., publications, data, public policy recommendations, etc.). Include a detailed work plan that describes tasks, deliverables and milestones. Discuss what "success" means for these deliverables and milestones and how you plan to measure it. Also discuss possible risks or barriers to success, their likelihood, and how you plan to avoid or mitigate them. These risks might be related to time (e.g., staff time, length of project), resources (e.g., money, materials), assumptions/expectations etc.

**d. Dissemination and communication:** Identify the target audiences for your project's work products, how you will engage with them and how they will benefit from your research. Describe the communication channels to be used such as web pages, social media outreach, training or mentoring opportunities, events, videos, or publications that the project team plans to create should it receive the

award. Applicants should keep in mind that outreach is an important part of the T-AP call. Please note that the funders encourage all resulting publications to be available via open access. Please consult your national eligibility requirements for more information about Open Access publishing.

**e. Cooperation Partners:** If applicable, please list all Cooperation Partners and their roles and responsibilities.

**f. Training:** If relevant, describe how your research project will promote training of postdocs, early career researchers and student in your team.

**10.2.7. Résumés** (maximum two pages per résumé): Include résumés (i.e., biosketches) for the Principal Investigators only. You may use the format of your choosing. Regardless of format, please ensure that the résumé indicates all institutional affiliations, as this is required to ensure there are no conflicts of interest with peer reviewers (for the definition of conflicts of interest, please see funding organization specific Addenda). Please also include per PI a maximum of 10 most relevant publications and a listing of relevant present and recent collaborations/collaborators.

**10.2.8. Appendices** (maximum of five pages): Attach any relevant diagrams, Cooperation Partners' letters of intent or other materials critical to your project. Also attach any IRB/Ethics Review Board documentation, if appropriate. Note IRB approval can be submitted after the proposal but before the award is made. Note that the T-AP RRR Call funders require that all funded research be conducted in accordance with relevant ethical and research integrity principles and be approved by the relevant ethical authorities. Please consult individual funding organizations' Addenda for more information on each funder's ethics requirements.

## **11. Structure of the Requested Budget Document and the Additional Document Files**

Besides the "Research Proposal" file, three other files should be submitted in PDF, as separate addenda – "Requested Budget" file, "Additional Documents", and "Consent Form", as follows.

### **11.1. Structure of the Requested Budget**

The budget requested should be structured in a single PDF file, according to the following instructions:

Page 1 – Summary Budget Table (see template on the [T-AP RRR website](#)), in euros. Subsequent pages – the amounts are requested from each funding agency according to the funders' instructions and templates.

Each project team is asked to submit a budget form for each funding organization from whom they're requesting funding. For example, if your project has a Brazilian team, a UK team, and a Canadian team, you should submit three team budgets. Each team budget should be prepared using the relevant templates that are available on the [T-AP RRR website](#) in the addenda of the funding organizations. Please ensure that you adhere to all relevant additional funders' requirements outlined in the funding organizations' addenda.

At the end of the grant period, the funders plan to sponsor a major conference to highlight all the funded projects. In your budget(s), please include funds for your Principal Investigators to travel to this conference. As the exact location has not been set yet, we recommend assuming it will be a transnational trip of approximately three nights.

Once you complete the individual national budgets, please fill out the special T-AP RRR Summary budget template available on the [T-AP RRR website](#). This will be the first page of your Requested Budget file. Next, concatenate all the national budgets to this page and submit as a single PDF file.

Please note SAGe submission system only accepts PDF files.

### **11.2. Structure of the Additional Documents File**

All additional documents required by national addenda should be organized in a single PDF document to be uploaded to the SAGe system. When assembling the additional documents file, please collate together the documents required by each funding organization, clearly identifying the documents destined for each funding organization.

### 11.3 Consent Form file

This is a one paragraph form which will be made available via the SAGE system. This form needs to be signed by the Lead PI and uploaded to the system.

## 12. Eligibility and budget check list for all T-AP RRR Principal Investigators

The Lead Principal Investigators should check that:

- Compulsory Intention to Submit form is submitted by the Lead PI by the 14<sup>th</sup> June 2021 deadline.
- All Principal Investigators are eligible according to relevant T-AP RRR general eligibility requirements and the relevant national/regional eligibility criteria<sup>5</sup>.
- The Principal Investigators are not PIs in any other T-AP RRR submission.
- The application is complete, i.e., the Application online form is filled out completely and a document containing all required sections specified in Section 9 is uploaded.
- The Requested Budget Document and the signed Consent Form have been uploaded.
- All required funding organizations' addenda have been uploaded as a single Additional Documents file.
- The information in the application is correct and in accordance with all relevant national and general T-AP RRR guidelines and eligibility requirements.
- All budget totals are eligible according to all relevant T-AP RRR Funding organizations' requirements.
- The budget in the Summary Budget Template reflects the totals of the national budget requests.
- The necessary permits and approvals are in place at the start of the project e.g., regarding ethical review, use of data.
- Any additional documentation has been submitted to relevant funding organizations (please check all relevant Addenda available on the [T-AP RRR website](#)).

## 13. Evaluation criteria and procedure

### 13.1. Evaluation criteria

Proposals will be evaluated according to the criteria which are of equal importance.

1. **Intellectual merit:** What is the potential for the proposed activity to advance knowledge and understanding and new insights within its own field or across different fields?
2. **Relevance to the call:** Does the project promise to meet the objectives of the RRR Call? The goals are listed on section 2.
3. **Quality, innovation and feasibility of the research plan:** Is the proposed project addressing new questions and/or new approaches? Is the research plan well-specified and feasible? Does the project provide value for money? Does the research team have the appropriate resources to successfully complete the project?
4. **Broader impacts:** Does the proposal demonstrate the contribution that this project will make to society and/or to the pursuit of advancing academic inquiry? Where relevant, does the proposal describe the sustainability of any resulting tools or other research outcomes beyond the life of the project?
5. **Partnership and planning:** Does the proposal describe an effective and balanced transnational partnership? Is the partnership well-coordinated and likely to extend beyond the funding period? Does the partnership have appropriate plans in place to address collaboration, data management, project planning and dissemination?

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<sup>5</sup> Please check the relevant Addenda to the Call for Proposals for the (national) eligibility requirements.

Projects will be scored based on the following scoring matrix:

0	<b>Unacceptable</b>	Poorly designed research with too many concerns or weaknesses to be taken forward as it stands.
1	<b>Weak</b>	Interesting research but with some clear weaknesses and therefore likely to make only a limited contribution to knowledge.
2	<b>Average</b>	Sound research likely to make a valuable contribution to knowledge, with some strengths, but also moderate weaknesses and therefore not necessarily with (inter)national impact.
3	<b>Good</b>	Transnationally significant research, with no significant flaws or concerns, likely to contribute to knowledge at the transnational level.
4	<b>Very good</b>	Transnationally important research, robust and at the leading edge, likely to make an important new contribution to knowledge.
5	<b>Excellent</b>	World leading, rigorous and innovative research, likely to make a major new contribution to knowledge.

### 13.2. Evaluation procedure

The RRR Call proposals will be evaluated by transnational independent experts and subsequently be reviewed and ranked by a transnational independent peer review panel. The evaluation process involves five steps:

1. Eligibility check: The Call Secretariat, in cooperation with the funding organizations, will check all proposals after the deadline to ensure that they meet the call's formal criteria (e.g., date of submission; remit checks, i.e., proposals fit to the funding organizations' mandate; number of participating countries; appropriate limits on length; and any additional information etc.) and that applicants are eligible to submit proposals according to the rules of the relevant funding organizations.
2. Expert peer review: Each eligible proposal will initially be remotely reviewed, according to the review criteria listed above, by experts in the field of research of the proposal.
3. Adjudication Panel(s): depending on the number and scope of proposals received, one or several review panels will be formed, consisting of experts chosen by the funding organizations. Panel members, considering the written input from the expert peer reviewers, will discuss the merits of each proposal using the review criteria as a guide and issue funding recommendations.
4. Funders Forum: After the panel meetings, representatives of the T-AP RRR funding organizations will meet separately, as a group, to view and officially put together the slate of projects - recommended by the adjudication panel(s) - to be funded. In determining the slate, **the guiding principle will be scholarly merit** as suggested by the ranking of the adjudication panel(s). In case of a tie in the overall grade, the group of funding organizations may also address such considerations as institutional, disciplinary, and geographic balance with the goal of creating a "balanced portfolio". The final slate will be reviewed and approved by the (co) chair of the panel(s).
5. Funding decision: The funding decision will be subject to formal approval by the national T-AP RRR funding organizations.

### 14. Award notification

Once the list of selected projects is established, the Lead PI will be notified by e-mail about the outcome of the selection procedure and receive a copy of the evaluation report as formulated by the Review Panel for that project. Subsequently, each funding organization will notify all relevant Principal Investigators and award the funds to support the approved projects according to its established procedure. Note that for some funding organizations the actual funding will be dependent on additional documents to be signed by the organizations with which the research teams are

associated, e.g., establishing how intellectual property rights, confidentiality, and how publications will be handled in the project.

### **15. Regulations for uses and dissemination of knowledge and information**

**15.1.** Openness of research results is strongly encouraged and intended to improve and promote the dissemination of knowledge, thereby contributing to academic discovery, fostering innovation and maximizing the return of public funding of research. Funding recipients are expected to ensure that their research data, peer-reviewed papers and software are freely accessible online in accordance with the policy of the relevant national funding agency rules, policies and/or constraints on openness, e.g., as defined by ethics committees.

**15.2.** The following conditions will apply to all research projects that are funded under the T-AP RRR framework.

1. In any publication of results, mention must be made of the support received in the framework of the Trans-Atlantic Platform. ("This work was supported in the framework of the Trans-Atlantic Platform by the following funding organizations: ...). The T-AP internet address <http://www.transatlanticplatform.com/> should also be shown on the publication.
2. All Principal Investigators must submit progress and financial reports following relevant funding organizations' requirements, such as reporting on the main (interim) results and outputs of the individual project as well as on the main (interim) results and outputs of the collaborative project as a whole.
3. In addition all funded projects will be asked to do the following:
  - Submit a final project "white paper" to the T-AP coordination team. The white paper should include contributions from all research teams participating in the transatlantic partnership and describe the results of the T-AP RRR project. It should discuss how the project progressed over time, and how the project was managed; document meetings and important milestones; propose indicators to measure success; describe lessons learned (both positive and negative); discuss the success in addressing the project's research question(s); and provide the researchers' candid opinions about the success of the project overall. The white paper will be posted on the T-AP website, so that others may benefit and learn from the research.
  - The white paper will be due 90 days after the end of the grant period, or the last extension received by the team. The white paper should be about as long as a typical academic paper in the discipline(s) involved in the project. A white paper could in fact be a pre-press version (or an early draft) of an academic paper. More details will be provided at a later date.
  - The text of the white paper may also be used to satisfy the reporting requirements of some of the project's funding agencies. Please consult the Addenda for individual funders for details. Please bear in mind that the paper will be a public document.
  - If any data or code is developed under the grant, researchers are encouraged to deposit copies into an appropriate repository and follow any additional funder-specific guidelines.





## National Funding Regulations SOUTH AFRICA – National Research Foundation (NRF)

**Indicative Budget:** R7.5 mill (around \$ 498.000) total budget

### Funding Programme:

- ❖ A total of 10 projects/consortia will be funded.
- ❖ Each project will be provided a max of R750 000 for over 36 months to be paid in annual instalments of R250 000 per annum per project.

### National Contact Point:

contact person:	email:	telephone:
Nombuso Madonda	<a href="mailto:Nombuso.madonda@nrf.ac.za">Nombuso.madonda@nrf.ac.za</a>	+27 124814143
Jan Phalane	<a href="mailto:Jan.phalane@nrf.ac.za">Jan.phalane@nrf.ac.za</a>	+27 124814157

### ELIGIBILITY CRITERIA

#### Who can apply?

Only working researchers/scientists residing in South Africa and affiliated with a recognised South African public higher education or research institution such as a university, university of technology or science council are eligible to apply. Researchers based at private higher education institutions are not eligible to apply under this programme. Researchers from SMEs, private companies/industries, and NGOs cannot serve as a PI but can form part of the research consortium. NGO and/or industry/ SME participants are expected to meet their own participation costs in the joint project. ***South African researchers are not allowed to serve as a research partner on more than 1 project proposal.***

It is “*mandatory*” for South African PIs based at historically advantaged institutions to include, as part of the consortium, a research partner from a historically disadvantaged institution. Proposals submitted by an applicant based at a historically advantaged institution without a research partner from a historically disadvantaged institution will be ineligible (and will not be submitted for review). The research collaborator from the historically disadvantaged institution in this case, can serve as a co-applicant in the proposal. Applicants based at historically disadvantaged institutions can act as PIs and submit proposals without the involvement of and/or partnering with researchers based at historically advantaged institutions if they so wish. Please note that only the following eight universities will be recognized as historically disadvantaged in line with the Department of Higher Education and Training November 2015 Ministerial Statement on university funding: University of Limpopo (UL), University of Fort Hare (UFH), University of Venda (Univen), Walter Sisulu University (WSU), University of the Western Cape (UWC), University of Zululand (UniZulu), Mangosuthu University of Technology (MUT), and Sefako Makgatho Health Sciences University (SMU).

In terms of human capital development, PIs are encouraged to ensure the involvement of young scientists (i.e. doctoral and postdoctoral students) and pay attention to gender equality (a balanced involvement of female and male researchers) and previously disadvantaged individuals.

**What type of activities are eligible for funding?**

NRF funds can be used to cover the following costs:

**Research-related costs:** Activities to be supported may include expenses relating to field work such as conducting interviews / surveys / laboratory experiments, research-related trips, small equipment (consumables), etc. Airfare, accommodation, ground transport and subsistence should be calculated using rates as stipulated in institutional travel policies.

**Exchange programmes:** To support short-term mobility or travel expenses (i.e. transport and accommodation costs) of the research team between the partnering countries.

**Doctoral research placements:** The placements should enable the postgraduate students within the project to learn valuable new skills or techniques; access facilities or resources not readily available at home; build relationships with potential new collaborators; and advance complementary collaborative research. The duration of each placement is expected to be 3-6 months with flexibility to split the placement into several shorter visits. Longer placements may be undertaken where this would add value and these should be justified within the application. Placements must enhance, not replace, the standard training and study support that the postgraduate students receive. These placements must be managed to fit within the original funded period of the studentship. Additional funding will not be made available through this Call to support studentship extensions for those undertaking international placements. Applicants should include information about how these exchanges will be managed within their proposal.

**Knowledge sharing costs:** In support of activities organised by the partners, such as joint workshops, seminars, conferences, symposia, lecture presentations, capacity building sessions, meetings, local/regional dissemination of results aimed at involving stakeholders, and/or end-users from outside the consortium. Airfare, accommodation, ground transport and subsistence should be calculated using rates as stipulated in institutional travel policies.

**Joint T-AP RRR call Conferences:** It's mandatory for researchers to make budgetary provisions (travel and accommodation) to participate at conferences organised by the T-AP RRR in a Post-Pandemic World Call Funders as part of the reporting requirements.

NRF funds can only be used to support research activities executed by the South African members of the consortium.

The following will **NOT** be funded from this grant allocation:

- Salaries (including temporary staff fees, consultant's fees and project management fees).
- Large equipment (costing more than R200 000).
- Educational expenses (i.e. scholarships and/or bursaries, etc.). The NRF has phased out the block grant nomination process as well as the grant-holder-linked modalities of funding postgraduate students. Therefore, PIs interested in supporting doctoral students as part of their projects should encourage their students to apply for the NRF Postgraduate Free Standing Scholarship on the NRF Online Submission System by accessing the link: <https://nrfs submission.nrf.ac.za/>. The NRF call for Student Support opened on 1 Apr 2021 (and will close on 30 Jul 2021) for bursaries in 2022. Further instructions on the Student Support application process can be accessed through the ***Application and Funding Guide for the Masters and Doctoral Scholarships*** for full-time studies:

<https://www.nrf.ac.za/bursaries/framework-documents/bursaries-framework-documents>

### **National topic priorities**

The NRF will support all interdisciplinary research projects focused on the five challenges of this call, i.e.

- 1) Reducing inequalities and vulnerabilities
- 2) Building a more resilient and sustainable society
- 3) Fostering democratic governance and political participation
- 4) Advancing responsible and inclusive digital innovation
- 5) Ensuring effective and accurate communication and media

Proposals may emphasise historical, theoretical developments, cross-national and cross-regional comparisons, meta-analyses, qualitative and quantitative longitudinal or cross-sectional surveys, case studies, randomised-controlled studies, cultural analysis, audience insights, discourse analysis, experimental development, prototyping, and simulation models, among others. **Applicants are encouraged to consult the main T-AP RRR in a Post-Pandemic World Call framework for an in-depth description of the five challenges.** In addition to these five challenges, the T-AP RRR Call encourages applicants to consult the [UN Research Roadmap for the COVID-19 Recovery](#) when developing their proposals, and if applicable/appropriate, to complement the project's research questions by utilising the Roadmap recommendations.

### **How to apply?**

For the full proposal, applicants should submit their applications by **12 July 2021** via the **SAGE submission website**. The South African applicants (i.e. main Principal Investigators) should also submit their applications electronically to the NRF via the NRF Online Submission System at <https://nrfs submission.nrf.ac.za>. **Closing date at Stellenbosch University for NRF-submitted applications: 12 July 2021**

The following steps should be followed when submitting full proposals via the NRF Online Submission System:

- Applications must be submitted under the “2021 Research Grants (International) – *Trans-Atlantic Platform RRR in a Post-Pandemic World Call*”
- All compulsory sections and the CV sections on the NRF Online Submission System must be completed in full and the required compulsory documents should be attached in PDF format in the following order:
  - Copy of the full proposal submitted on the SAGE website.
  - CVs and budgets of the partners.
- **Failure to submit compulsory documents will result in the disqualification of the application.**
- Complete applications will go to the host institutions for verification before being forwarded to the NRF for further processing. No hard copies will be accepted. Hard copies will automatically be disqualified by the NRF.
- Only applications endorsed by the designated authority (DA) at the research office or its equivalent at higher education institutions will be accepted. Applicants are therefore encouraged to contact your research office or Designated Authority if you have any queries. **At Stellenbosch University contact Riana Coetsee at [mjc@sun.ac.za](mailto:mjc@sun.ac.za).**

Please note that South African full proposals not submitted via the NRF Online Submission System will not be eligible for reviews.