Ethics of health research at SU

Research ethics aims to protect the welfare and dignity of research participants (and their healthrelated information/biological materials) while facilitating socially valuable research.

All health research, as defined by the <u>National Health</u> <u>Act (61 of 2003)</u>, must undergo formal ethics review by a Research Ethics Committee (REC). This infographic aims to simplify the process of applying for approval from the Undergraduate Research Ethics Committee for undergraduate and honours students at Stellenbosch University.

Health research is defined as:

The systematic investigation into and study of materials and data sources in order to establish facts and reach new conclusions, contributing to the body of knowledge about:

- Biological, clinical, psychological, or social processes in human beings
- Improved methods for the provision of health services
- Causes of disease
- Effects of the environment on the human body
- Development or new application of pharmaceuticals, medicines, health technologies and interventions

(<u>National Health Act</u> <u>61 of 2003</u>)

Undergraduate Research Ethics Committee (UREC) and the research ethics context



Research ethics context

- <u>Why is ethics review of</u> <u>research important?</u>
- <u>What informs the work</u> of an REC?



- <u>About UREC.</u>
- UREC members.
- <u>Submission deadlines.</u>

Important policies and guidelines	 HREC Terms of Reference and Standard Operating Procedures. Dept. of Health (2015) ethics guidelines. SU responsible research conduct policies. SU data management policy. 	<image/> <section-header><section-header><section-header><section-header><section-header></section-header></section-header></section-header></section-header></section-header>	 How to submit a new ethics application. How to submit a response to modifications. How to submit an amendment. How to submit a progress report.
<section-header></section-header>	Research in which the probability and magnitude of harm is not more than that encountered in daily life or during routine physical or psychological examinations.	<image/> <section-header><section-header><section-header><section-header></section-header></section-header></section-header></section-header>	 Day after deadline: completeness check by UREC administrator. Allocated to 1 reviewer by UREC coordinator. First feedback letter sent 1-2 weeks after deadline.
*UREC only reviews minimal risk research			

Online health research ethics applications



Minimum set of documents required

- Online application form.
- <u>Research protocol.</u>
- Protocol synopsis (2-page. summary of research protocol).



Important information about the content of applications

 A quick guide to submitting an ethics application.
 What UREC reviewers are looking for in an ethics application.

Basic information for application form

- Degree information.
- Contact information of all members of your research team (students, supervisor/s, etc.).

- <u>Budget.</u>
- <u>Investigator's Declaration form for</u> <u>every member of research team.</u>
- CVs for all research team members.
- <u>HoD signature form.</u>
- <u>HREC payment instruction form.</u>
- Data recruitment and collection materials.
- Informed consent/assent forms
 OR waiver of consent request
 <u>letter.</u>
- <u>Review criteria used by UREC.</u>
- Link to online application site.
- What happens after submission of an application.

Undergraduate and honours students' ethics applications will automatically be routed to UREC, which is a subcommittee of the Health Research Ethics Committee at the FMHS

- Details about linked projects and applications where relevant.
- General information about the project (title, objectives, research design, etc.).
- What type of sample/s your study includes (human participants, medical records, etc.).
- Details about research involving children, where relevant.
- Whether you'll be testing any medicines, devices, etc.
- Whether you'll be using diagnostic tests.
- Data management plan and privacy / confidentiality protections.
- Payment of participants.
- Disclosures of any conflicts of interest.
- You and your supervisor's sign off.

I don't know if I need ethics approval

- <u>Educational projects vs health</u> <u>research needing ethics approval.</u>
- <u>HREC guidance on what is exempt</u> from ethics review (pp. 21-22).

My project is linked to a larger project

HREC guidance on whether
 ethics approval is needed if your

I need to remunerate research participants

- <u>National guidance on payment of</u> <u>research participants.</u>
- <u>HREC guidance on participant</u> <u>compensation (pp. 67-68).</u>

X Frequently

I'm doing a systematic or scoping review

 <u>Ethics of secondary research</u> (systematic reviews and scoping reviews).

I'm doing a case report or case series

- <u>Ethical considerations in case</u> <u>reports/case series.</u>
- <u>Consent form for case</u>

study is linked to a larger project.

I'm doing research at a health facility in the Western Cape

<u>Undergraduate studies only need</u>
 <u>health facility permission, not</u>
 <u>W.C. provincial Dept. of Health.</u>

I'm doing research on Stellenbosch University students and/or staff

<u>Apply for permission from the</u> <u>Division of Information</u> <u>Governance at SU.</u>

I'm doing quantitative research and need help with biostatistics

• <u>Apply for a Biostatistics Consult</u> (free for SU students).

Asked Questions: What if...

I'm using secondary data or samples

- <u>Ethical considerations in secondary use.</u>
- <u>What consent is needed for use of</u> <u>secondary data/samples.</u>
- <u>What to include in a protocol using</u> <u>secondary data/samples.</u>

I need to ensure that my research is POPIA-compliant

- <u>Protection of Personal Information Act</u> (POPIA) and research data management
- <u>Division for Information Governance</u>

- <u>reports/series.</u>
- <u>Submit a case report application.</u>

I'm doing qualitative interviews and am using a translator/transcriber

• <u>Get translators and transcribers to</u> <u>sign a confidentiality agreement.</u>

I need to apply for a waiver of consent

• <u>Conditions for applying for a</u> <u>waiver of individual consent.</u>

I'm doing social media research

• <u>Ethical considerations in</u> <u>social media research</u>

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