

WORKING PROCEDURES OF THE INSTITUTIONAL FORUM OF STELLENBOSCH UNIVERSITY

These procedures were approved by the Institutional Forum on 03 May 2018 (Based on the current US Statute as published in the Government Gazette No 40243 of 2 September 2016).

1. DEFINITIONS

- 1.1. In these procedures a term has the definition assigned to it in the Act or in the Institutional Statute, unless the context indicates otherwise.
- 1.2. **In these procedures**
 - 1.2.1. "the Act" means the Higher Education Act (Act No. 101 of 1997) and "the Act 2" means the Higher Education Amendment Act (Act 9 of 2016);
 - 1.2.2. "Council" means the body established in terms of paragraphs 11 and 12 of the Institutional Statute;
 - 1.2.3. "Exco (Council)" means the body established in terms of paragraph 17 of the Institutional Statute;
 - 1.2.4. "Executive Committee of the Institutional Forum" or "Exco (IF)" means the body established in terms of paragraph 45 of the Institutional Statute;
 - 1.2.5. "Institutional Forum" or "IF" means the body established in terms of section 31 of the Act and paragraphs 43 and 44 of the Institutional Statute;
 - 1.2.6. "Institutional Statute" means the Statute of Stellenbosch University promulgated by Government Notice No. 40243 of 2 September 2016;
 - 1.2.7. "stakeholder" means any person with an interest in the IF, the University, or matters concerning the University, who may be a natural or juristic person;
 - 1.2.8. "Task Group" means any body established in terms of paragraph 4 of these procedures;
 - 1.2.9. "University" means Stellenbosch University.

2. THE INSTITUTIONAL FORUM

2.1. Functions and powers

The functions and powers of the IF are determined by section 31(1) of the Act; section 31(A1) of the Act 2 and paragraph 43 of the Institutional Statute, namely –

- 2.1.1. the IF must advise the Council on
 - 2.1.1.1. matters affecting the University, including –
 - a. the implementation of the Act and the national policy on higher education;
 - b. race and gender equity policies;

- c. the selection of candidates for senior management positions;
 - d. codes of conduct, mediation and dispute resolution procedures; and
 - e. the fostering of an institutional culture that promotes tolerance and respect for fundamental human rights and creates an appropriate environment for teaching, research and learning; and
- 2.1.1.2. other matters affecting the University, in respect of which Council makes decisions after consultation with the IF.
- 2.1.2. The IF performs such functions as and in a manner determined by the Council from time to time.
- 2.1.3. Council must (a) consider advice given by the IF and (b) provide written reasons if the advice was not accepted.
- 2.1.4. Advice by the IF to the Council is not limited only to policies or other matters on which the IF has been consulted. The IF must also assist in developing an institutional sensitivity and pro-actively highlight issues that require the Council's attention.
- 2.1.5. The consultation procedures in subparagraph 2.1.1 are determined by the Council in consultation with the IF.

2.2. Composition

The composition of the IF is determined by paragraph 44 of the Institutional Statute, namely

- 2.2.1. The IF consists of thirty two members – eight members from each of the following sectors: governance and management, staff, students and the community.
- 2.2.2. This sectors of the IF are composed as follows –
- 2.2.2.1. ***Governance and management sector***
- a. Two members of the Council elected by the Council;
 - b. Three members of the Senate elected by the Senate;
 - c. The Registrar or a person designated by him or her;
 - d. A Senior Director: responsible for community relations appointed by the Vice Rector responsible for community relations or a person designated by him or her; and
 - e. Vice Rector responsible for community relations or a person designated by him or her.
- 2.2.2.2. ***Staff sector***
- a. Three members elected from the ranks of the permanent non-professional academic staff;
 - b. Two members elected from the ranks of the administrative and academic support staff;
 - c. One member elected from the ranks of employees that qualify for membership of the employees' association¹; and
 - d. Two members elected from the ranks of the technical support staff.

¹ The employees' association is currently not active. An additional member is added to administrative and support staff category.

2.2.2.3. Student sector

- a. Two members of the SRC elected by the SRC;
- b. Two members of the Prim Committee elected by the Prim Committee, one from the residence sector and one from the PSO sector;
- c. One member appointed by the Student Society Council;
- d. Two members of the SAAC elected by the SAAC; and
- e. One member from the Student Union appointed by the SRC, preferably from representatives of the SRC on the IF during the previous term.

2.2.2.4. Community sector

- a. Two members of the convocation appointed by the president of the convocation; and
- b. Six persons respectively appointed by bodies representative of civic society, without the exclusion of any sector thereof, as identified from time to time by the IF in co-operation with the Senior Director in terms of paragraph 44 (2) (i) (d) of the Statute.

2.3. Term of office

The term of office of the IF is determined by paragraph 46 of the Institutional Statute, namely –

- 2.3.1. The term of office of all members, excluding members elected by student bodies, is three years, and members may be elected for two consecutive terms. With a view to continuity, not all members will be elected or nominated in the same year.
- 2.3.2. The term of office of members elected by student bodies is one year.
- 2.3.3. Term of office commences on 1 January of the year in which a member is elected or appointed, and lasts for the period stated in 2.3.1. and 2.3.2.

2.4. Chairperson, deputy-chairperson and secretary

The IF annually elects from its ranks the chairperson, the deputy chairperson and the secretary (see parr. 3.1 and 3.2) as determined by paragraph 47 of the Institutional Statute.

2.5. Convening of meetings

- 2.5.1. The agenda is
 - 2.5.1.1. Compiled by the chairperson and the secretary in accordance with the Exco (IF) meeting preceding the IF meeting; and
 - 2.5.1.2. When necessary, compiled by applying paragraph 2.5.3 below;
 - 2.5.1.3. Discussed and adopted at the commencement of the IF meeting;
- 2.5.2. The IF meets at least once per cycle of Council meetings, before closing of the agenda of the Exco (Council);
- 2.5.3. An extraordinary meeting of the IF must be convened –
 - 2.5.3.1. At the discretion of the IF or the Exco (IF),
 - 2.5.3.2. Upon written request of at least ten members of the IF,

- 2.5.3.3. Upon written request of the Council, or
 - 2.5.3.4. Upon written request of a Task Group of the IF.
- 2.5.4. The secretary must notify IF members of the date of IF meetings at least 5 working days in advance; provided that in the event of an urgent matter, such notice may, at the discretion of the Exco (IF), be reduced to 3 working days.
- 2.5.5. Notwithstanding the aforementioned, the following exceptional procedure may be followed in urgent cases:
- 2.5.5.1. The Exco (IF) determines that the matter is urgent and formulates a resolution on which the members have to vote.
 - 2.5.5.2. Without delay the Secretary gives notice, in writing or electronically, of the resolution to be voted on. He or she determines a voting period, which must be at least three days after the date of the notice. During the voting period members vote, in writing or electronically, following a procedure indicated by the Secretary.
 - 2.5.5.3. The resolution must be accepted by a majority of voting members, provided that at least 10 members vote.
 - 2.5.5.4. If, within 3 working days of voting, any 5 members request in writing that the IF meet to discuss the resolution, the resolution lapses and an extra IF meeting is to be arranged for the meeting to vote on the resolution.
 - 2.5.5.5. Where the nature of the urgent matter is such that it is impossible for the Exco (IF) to formulate a resolution in terms of 2.5.5.1. on which to vote, the Exco (IF) can create an *ad hoc* committee to formulate such resolution within a certain period of time, and notify the members of this procedure; after the committee has formulated such resolution the procedure in 2.5.5.2. – 4 is followed.

2.6. Quorum

The quorum for an IF meeting is ten members, and must include a minimum of one member from each of the sectors.

2.7. Decision making at IF meetings

- 2.7.1. As point of departure decision making should be by way of consensus; or in the absence thereof –
- 2.7.2. Attempts should be made to obtain a two-thirds majority, in which event the opinions of both the majority and the minority must be reported, or in the absence thereof –
- 2.7.3. All viewpoints must be reported.

2.8. Forms of address

At their discretion members may address one another by their first names or more formally.

2.9. Observers

IF and Task Group meetings are open to observers but, when discussing a specific point on the agenda, may be closed at the discretion of the IF or the Task Group, as applicable, or under

instructions from the Council.

2.10. Language policy

- 2.10.1. The secretariat will usually issue IF documents in English and also in Afrikaans when warranted by circumstances;
- 2.10.2. Meetings will formally be conducted in English but members may speak English or Afrikaans during meetings;
- 2.10.3. The chairperson or a designated member of the IF will translate from Afrikaans to English or English to Afrikaans if and when necessary.
- 2.10.4. Arrangements for the use of any official language other than Afrikaans or English must be made when necessary.

3. THE EXECUTIVE COMMITTEE OF THE INSTITUTIONAL FORUM

3.1. Membership

The composition of the Exco (IF) is determined by paragraph 45 of the Institutional Statute. The executive committee that is formed annually consists of –

- 3.1.1. the chairperson, the deputy chairperson and the secretary, and
- 3.1.2. one member from each of the sectors as set out in paragraph 44 of the Statute.

3.2. Election and term of office

- 3.2.1. The Exco (IF) holds the position for one year;
- 3.2.2. A special welcoming gathering is scheduled early in January each year where new and serving members can meet as preparation for the election of the Exco that takes place during the first official meeting of the year. During the meeting the outgoing Exco will present a short introduction to the activities of the IF.
- 3.2.3. The election of the Exco (IF) usually occurs at the first ordinary IF meeting of the year and proceeds as follows:
 - 3.2.3.1. The chairperson, the deputy chairperson, and the secretary are elected by the IF after written nomination and voting per ballot; and
 - 3.2.3.2. Each sector, as set out in paragraph 2.2 above, elects one additional member to the Exco (IF).
- 3.2.4. Voting procedures before and during election meeting:
 - 3.2.4.1. Letters are circulated to all the members of the IF beforehand, inviting nominations for a chairperson, a deputy chairperson and a secretary. In addition the nominees have to write brief mission statements. These are included with the agenda of the first meeting of the year (during which the election takes place).
 - 3.2.4.2. Ballot papers with the names of the nominees for the position of Chairperson are given to members at the meeting.
 - 3.2.4.3. The serving chairperson presides over the voting and appoints two persons to count the votes. If the serving chairperson is nominated again, the meeting appoints an interim electoral officer to handle the election of the chairperson.
 - 3.2.4.4. A nominee must obtain an absolute majority of the members present and voting in

order to be elected. More than one poll may be necessary to gradually eliminate the person(s) obtaining the fewest votes.

- 3.2.4.5. The deputy chairperson and the secretary, in this order, are subsequently elected in the same manner.
- 3.2.4.6. The meeting splits up into the four sectors and each sector nominates one member from its ranks to serve in the Exco (IF).
- 3.2.4.7. The new chairperson takes the chair after all the elections have been completed and deals with the remainder (if any) of the agenda.

3.3. Functions

The Exco (IF) is responsible for –

- 3.3.1. Planning the agenda for IF meetings;
- 3.3.2. Logistics and the secretariat;
- 3.3.3. Planning and organising an annual planning session either after the last official meeting of the year, or early in the new year.
- 3.3.4. Liaison with Management;
- 3.3.5. Finalising urgent matters in highly exceptional cases that cannot be held over until the next full IF meeting, and reporting in detail to the IF; and
- 3.3.6. Such other functions as the IF may delegate to the Exco (IF).

3.4. Convening meetings

- 3.4.1. Normally the Exco (IF) should meet ten days before every ordinary meeting of the IF;
- 3.4.2. Minimum notice of one week should preferably be given for an ordinary meeting of the Exco (IF); and
- 3.4.3. An extraordinary meeting of the Exco (IF) may be convened at the request of the chairperson, or at the written request of any three members of the Exco (IF), or the Council; provided that at least 24 hours' notice of such extraordinary meeting is given.

3.5. Quorum

The quorum for an Exco (IF) meeting is four members.

3.6. Observers

Exco (IF) meetings are open to observers but may be closed at the discretion of the Exco (IF), or on the instructions of the Council for discussion of a specific point on the agenda of a confidential nature, for example appointment of senior staff.

3.7. Communication

Exco (IF) members who act in their capacity as members of the Exco (IF) when circumstances demand, must inform the rest of the Exco (IF) of their actions in writing.

4. TASK GROUPS

Ad hoc Task Groups may be formed by the IF or the Exco (IF), and given a brief and/or a list of specific tasks.

4.1. Task Groups may consist of –

- 4.1.1. Task Group members who are members of the IF, appointed by the IF or the Exco (IF) in terms of their express preferences;
- 4.1.2. Additional (non-IF) Task Group members who are as a rule co-opted by reason of their representivity, expertise, availability and commitment; and
- 4.1.3. Temporary advisers co-opted to assist the Task Group with specific matters.

4.2. Task Groups must –

- 4.2.1. include in their agendas matters that form part of their broad or specific briefs;
- 4.2.2. elect their own chairpersons and secretaries;
- 4.2.3. keep minutes of their meetings; and
- 4.2.4. report in writing to every normal meeting of the Exco (IF) according to the prescribed style and format.

5. LIAISON WITH THE COUNCIL

- 5.1. Following discussions at the IF meeting, the IF will give advice regarding a matter or documents in a formal Report to the Council via the Exco (Council) (and not the compilers of documents). Editorial recommendations, merely stating the actions in the Report to the Council, may be given to the compilers. The Council must consider advice given by the IF but is at liberty to either follow or reject any advice thus given. The Council's decision regarding advice, with the reasons for rejection, if any, is relayed to the IF in written format.
- 5.2. "That the Exco (Council) as a rule invite the chairperson of the IF to the Exco (Council) meetings to present and elucidate IF reports."

6. INPUTS TO IF PROCESSES

6.1. Public discussions and written submissions

- 6.1.1. The IF will consider any stakeholder's written submissions on any topic.
- 6.1.2. Any stakeholder may submit a written request to the IF to address the IF on a matter, and such a request may be granted at the discretion of the Exco (IF);
- 6.1.3. All written requests and submissions will be answered in writing.

6.2. Submission of agenda points, proposals and reports

- 6.2.1. A request by the university to the IF to give input, comment or advice must be addressed to the Exco (IF) via the secretariat, or preferably, the Chairperson. The document to be advised upon must be in its final form.
- 6.2.2. The Task Group of the IF to which the document has been referred must study the document and advise the IF accordingly, which advice should be accepted or amended by a

- duly convened meeting of the full IF, with a view to advise the Council in a formal Report.
- 6.2.3. Any task group may submit agenda point or items for discussion to the Exco (IF) for consideration and eventual discussion by the IF, and if necessary, for advice to the Council;
 - 6.2.4. Agenda points, with supporting documentation, and reports to the IF should be submitted to the Exco (IF) seven working days prior to the Exco (IF) meeting; provided that, in extremely urgent cases, an agenda point or report may be submitted so that all Exco (IF) members receive it 24 hours prior to the Exco (IF) meeting preceding the applicable IF meeting; and
 - 6.2.5. Written proposals, but not agenda points, to be tabled at an IF meeting may be submitted to the secretary up to 24 hours prior to the IF meeting.

7. DOCUMENTS

- 7.1. Upon request all documents of the IF, Exco (IF) will normally be open to inspection by any person;
- 7.2. Exceptions to paragraph 7.1 above may be made at the discretion of the IF, Exco (IF) or Task Group handling the document, or on the instructions of the Council.

8. REPRESENTATION

- 8.1. When members of the IF are appointed at the request of the IF to represent the IF in particular committees, commissions or investigation groups, such nominees act in their personal capacity and their opinions may not be deemed to be those of the Institutional Forum. It may furthermore not be deemed to be sufficient consultation with the IF. In addition, the committee, commission or investigation group concerned has to present to the IF the final version of the document addressed to the Council. With a view to advising the Council, the IF only formulates viewpoints based on the final documents that such bodies have submitted.

Finalised and accepted by the IF on 03 May 2018.